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3511

PTO/SB/07-12.97  
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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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In re: Sathiyanoorthy Dhanapal et al.

Case: P3511 Application No.: 10/731,047

Filing date: 12/08/2003

Art Unit: 2673

Examiner: NA

Subject: Procedural Computation Engine for Providing Complex Calculated Data Results to an Object-Oriented Server System Accessible to Service Clients and Agents over a Data Packet Network

**Certificate of Transmission under 37 CFR 1.8**

Attention: NA, Examiner

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on 06/27/2006

Date

  
SignatureSher Beasley

Typed or printed name of person signing Certificate

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Total Sheets Transmitted - 7

1. Request for Reconsideration of Petition - 1 sheet
2. Declaration for Utility or Design Patent Application - 3 sheets
3. Copy of Decision Refusing Status - 2 sheets
4. Certificate of Transmission - 1 sheet

Please call (831) 726-1457 if you have any questions.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JUN 27 2006

## In The United States Patent and Trademark Office

Examiner: NA Art Unit: 2673

In Re: Sathiyamoorthy Dhanapal  
Docket: P3511  
Serial No.: 10/731,047  
Filed: 12/08/2003  
For: Procedural Computation Engine for Providing Complex Calculated Data Results to an Object-Oriented Server System Accessible to Service Clients and Agents over a Data Packet Network

Mail Stop Petition  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Request for Reconsideration of Petition Under 37 CFR. §1.47(a)**

A decision refusing status under 37 CFR. §1.47(a) was mailed in the above-referenced case on 06/07/2006 (copy enclosed), indicating that the signature block of inventor Sanjay Mittal contains non-initialed alterations to the declaration. A newly executed declaration without alterations is enclosed herewith. Applicant respectfully requests that the signed Declaration for Utility or Design Patent Application be matched with the above referenced case file.

Respectfully Submitted,  
Sathiyamoorthy Dhanapal

By Donald R. Boys  
Donald R. Boys  
Reg. No. 35,074

Central Coast Patent Agency, Inc.  
P.O. Box 187  
Aromas, CA 95004  
(831) 726-1457

PTO/SB/01 (10-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63) Declaration Submitted With Initial Filing

OR

 Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	P3511
First Named Inventor	Sathiyamoorthy Dhanapal
COMPLETE IF KNOWN	
Application Number	10/731,047
Filing Date	12/08/2003
Art Unit	2673
Examiner Name	Unknown

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JUN 27 2006

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Procedural Computation Engine for Providing Complex Calculated Data Results to an Object-Oriented Server System Accessible to Service Clients and Agents over a Data Packet Network

(Title of the Invention)

the specification of which

 Is attached hereto

OR

 was filed on (MM/DD/YYYY) 12/08/2003 as United States Application Number or PCT InternationalApplication Number 10/731,047 and was amended on (MM/DD/YYYY)   (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, Inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

 Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (10-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION - Utility or Design Patent Application

Direct all correspondence to:	<input checked="" type="checkbox"/> The address associated with Customer Number:	24739	OR	<input type="checkbox"/> Correspondence address below
Name				
Address				
City		State	ZIP	
Country	Telephone	Email		

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle if any) Sathyamoorthy		Family Name or Surname Dhanapal	
Inventor's Signature			Date
Residence: City Kancheepuram	State Tamil Nadu	Country India	Citizenship India
Mailing Address E24 Pallava Nagar, TNHB			
City Kancheepuram	State Tamil Nadu	Zip 631501	Country India
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

[Page 2 of 2]

PTO/SB/02A (09-04)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## DECLARATION

## ADDITIONAL INVENTOR(S)

## Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Suresh Sabapathy		Sambandam	
Inventor's Signature		Date	
Saidapet Residence: City	Chennai State	India Country	India Citizenship
18 West Mada, Shreenagar Colony			
Mailing Address			
Faidapet City	Chennai State	600015 Zip	India Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Sanjay		Mittal	
Inventor's Signature	<i>Sanjay Mittal</i>		Date <i>6/21/06</i>
Fremont Residence: City	CA State	USA Country	US Citizenship
2237 Rutherford Lane			
Mailing Address			
Fremont City	CA State	94539 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Sam Peter		Hamilton	
Inventor's Signature			Date
Sunnyvale Residence: City	CA State	US Country	US Citizenship
1381 Fisherhawk Dr.			
Mailing Address			
Sunnyvale City	CA State	94087 Zip	US Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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United States Patent and Trademark Office  
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Alexandria, VA 22313-1450  
www.uspto.gov

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AROMAS CA 95004

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OFFICE OF PETITIONS

In re Application of  
Dhanapal et al.  
Application No. 10/731,047  
Filed: December 8, 2003  
Attorney Docket No. P3511  
Title: Procedural Computation Engine for  
Providing Complex Calculated Data Results to  
an Object-oriented Server System Accessible  
to Service Clients and Agents over a Data  
Packet Network

DECISION REFUSING STATUS  
UNDER 37 CFR 1.47(a)

This is in response to the petition filed May 12, 2006, under 37 CFR. §1.47(a).

The petition under 37 CFR. §1.47(a) is **DISMISSED**.

Rule 47 applicant is given TWO MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR. §1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. **Failure to respond will result in abandonment of the application.** Any extensions of time will be governed by 37 CFR. §1.136(a).

The above-identified application was filed on December 8, 2003, without an executed oath or declaration. Accordingly, on December 13, 2005, applicant was mailed a "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted," requiring for the purposes of this decision an executed oath or declaration in compliance with §1.63, and a surcharge for its late filing. This Notice set an extendable two-month period for reply of February 13, 2006.

In reply, applicant filed a petition, the surcharge for late filing of the declaration, and a partially executed declaration. To make the reply timely, a three (3) month extension of time was also submitted. Petitioner has submitted \$130.00 towards the petition fee however, the current petition fee is \$200.00. Pursuant to petitioner's request deposit

Application No. 10/731,047

Page 2

account 50-0534 will be charged the \$70.00 difference.

A grantable petition under 37 CFR. §1.47(a) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§115 and 116; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor.

The instant petition does not satisfy requirement (2).

As to item (2), the signature block of inventor Sanjay Mittal contains non-initialed alterations to the declaration. A newly executed declaration without alterations must be submitted pursuant to 37 CFR 1.67(a)(2).

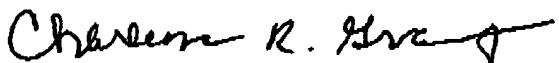
Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By facsimile: (571) 273-8300

By delivery service:  
(FedEx, UPS, DHL, etc.) U.S. Patent and Trademark Office  
Customer Service Window,  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Telephone inquiries related to this decision may be directed to the undersigned at (571) 272-3215.



Charlema R. Grant  
Petitions Attorney  
Office of Petitions